

FROM : JOE ZHENG/SVPA

FAX NO. : 4088739249

Jan. 10 2004 04:50PM P4

#15/Response  
S. Ellis

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1-2004 JAN 09 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

**Applicant(s):** Alain ROSSMANN, et al  
**Title:** Method and Architecture for Providing Pervasive Security to Digital Assets  
**Serial No.:** 10/076,254  
**Confirmation No.:** 8579  
**Filing Date:** 02/12/2002  
**Examiner:** Firmin Backer  
**Group Art Unit:** 3621  
**Docket No:** SS-004

November 5, 2003

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Response to Final Office Action

Dear Sir:

In response to the Office Action dated 10/14/2003, the Applicants respectfully request the Examiner to reconsider the pending claims in view of the following remarks.

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**Formal response****OFFICIAL****FACSIMILE TRANSMITTAL SHEET**

TO:	FROM:
Examiner Firmin Backer	Joe Zheng (408)777-8873
COMPANY:	DATE:
Technology Center 3621	01/10/2004
FAX NUMBER:	TOTAL NO. OF PAGES INCLUDING COVER:
(703)305-7687	9
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RE:	YOUR REFERENCE NUMBER:
Copy of formal response	10/076,254
<input checked="" type="checkbox"/> URGENT <input checked="" type="checkbox"/> FOR REVIEW <input type="checkbox"/> PLEASE COMMENT <input type="checkbox"/> PLEASE REPLY <input type="checkbox"/> PLEASE RECYCLE	

NOTES/COMMENTS:

Dear Commissioner:

It comes to the attention of the undersigned that a formal response filed Nov. 4, 2003 is never recorded. A copy of the PAIR printout is attached.

To support that the response was faxed to the number provided by the Examiner, a copy of the fax journal is attached to show that a response of 6 pages was faxed at 2:10PM (PT) on Nov. 4, 2003 (circled).

A copy of the response is attached. Please contact the undersigned if there is any question.

Respectfully,

Joe Zheng  
Reg. No: 39,450

7394 WILDFLOWER WAY, CUPERTINO, CA 95014

PAIR Page - Microsoft Internet Explorer																																																																												
File Edit View Favorites Tools Help Back   Search  Favorites	Address <a href="http://pair-direct.uspto.gov/cgi-bin/pairfinal/pairnum.pl?username=loc+Zheng&amp;USERCODE=1238&amp;ApplicationNumber=10076254">http://pair-direct.uspto.gov/cgi-bin/pairfinal/pairnum.pl?username=loc+Zheng&amp;USERCODE=1238&amp;ApplicationNumber=10076254</a>																																																																											
<a href="#">PAIR</a> <a href="#">FAQ</a> <a href="#">Help</a> <a href="#">Feedback</a> <a href="#">Other Links</a> <ul style="list-style-type: none"> <li><a href="#">PAIR Home</a></li> <li><a href="#">Electronic Disclosure Database</a></li> <li><a href="#">Search Online Mail Interview Summary Record</a></li> <li><a href="#">Inventorship Corrections</a></li> </ul>	<div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> <b>Search results for application number: 10/076,254</b> </div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Application Number:</td> <td>10076,254</td> <td style="width: 30%;">Customer Number:</td> <td>33708</td> </tr> <tr> <td>Filing or 371(c) Date:</td> <td>02-12-2002</td> <td>Status:</td> <td>Final Rejection Mailed</td> </tr> <tr> <td>Application Type:</td> <td>Utility</td> <td>Status Date:</td> <td>10-14-2003</td> </tr> <tr> <td>Examiner Name:</td> <td>BACKER, FIRMIN</td> <td>Location:</td> <td>TC 3800 CENTRAL FILES, PK5-7709</td> </tr> <tr> <td>Group Art Unit:</td> <td>3221</td> <td>Location Date:</td> <td>12-05-2003</td> </tr> <tr> <td>Confirmation Number:</td> <td>36779</td> <td>Earliest Publication No.:</td> <td>US 2003-0110131 A1</td> </tr> <tr> <td>Attorney Docket Number:</td> <td>SS-004</td> <td>Earliest Publication Date:</td> <td>06-12-2003</td> </tr> <tr> <td>Class/ Sub-Class:</td> <td>705/051</td> <td>Patent Number:</td> <td>-</td> </tr> <tr> <td>First Named Inventor:</td> <td>Alain Rossmann, Palo Alto, CA (US)</td> <td>Issue Date of Patent:</td> <td>-</td> </tr> <tr> <td>Title Of Invention:</td> <td colspan="3">Method and architecture for providing pervasive security to digital assets</td> </tr> <tr> <td colspan="2" style="text-align: right;"> </td> <td colspan="2" style="text-align: right;"> </td> </tr> <tr> <td colspan="4" style="text-align: center;"> <b>File Contents History</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Number</th> <th>Date</th> <th>Contents Description</th> </tr> </thead> <tbody> <tr> <td>28</td> <td>11-19-2003</td> <td>Electronic Information Disclosure Statement</td> </tr> <tr> <td>27</td> <td>10-14-2003</td> <td>Mail Examiner Interview Summary (PTOL - 413)</td> </tr> <tr> <td>26</td> <td>10-14-2003</td> <td>Mail Final Rejection (PTOL - 326)</td> </tr> <tr> <td>26</td> <td>10-10-2003</td> <td>Final Rejection</td> </tr> <tr> <td>24</td> <td>08-21-2003</td> <td>Correspondence Address Change</td> </tr> <tr> <td>23</td> <td>07-29-2003</td> <td>Examiner Interview Summary Record (PTOL - 413)</td> </tr> <tr> <td>22</td> <td>08-08-2003</td> <td>Date Forwarded to Examiner</td> </tr> <tr> <td>21</td> <td>07-29-2003</td> <td>Response after Non-Final Action</td> </tr> </tbody> </table> </td> </tr> </table>	Application Number:	10076,254	Customer Number:	33708	Filing or 371(c) Date:	02-12-2002	Status:	Final Rejection Mailed	Application Type:	Utility	Status Date:	10-14-2003	Examiner Name:	BACKER, FIRMIN	Location:	TC 3800 CENTRAL FILES, PK5-7709	Group Art Unit:	3221	Location Date:	12-05-2003	Confirmation Number:	36779	Earliest Publication No.:	US 2003-0110131 A1	Attorney Docket Number:	SS-004	Earliest Publication Date:	06-12-2003	Class/ Sub-Class:	705/051	Patent Number:	-	First Named Inventor:	Alain Rossmann, Palo Alto, CA (US)	Issue Date of Patent:	-	Title Of Invention:	Method and architecture for providing pervasive security to digital assets							<b>File Contents History</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Number</th> <th>Date</th> <th>Contents Description</th> </tr> </thead> <tbody> <tr> <td>28</td> <td>11-19-2003</td> <td>Electronic Information Disclosure Statement</td> </tr> <tr> <td>27</td> <td>10-14-2003</td> <td>Mail Examiner Interview Summary (PTOL - 413)</td> </tr> <tr> <td>26</td> <td>10-14-2003</td> <td>Mail Final Rejection (PTOL - 326)</td> </tr> <tr> <td>26</td> <td>10-10-2003</td> <td>Final Rejection</td> </tr> <tr> <td>24</td> <td>08-21-2003</td> <td>Correspondence Address Change</td> </tr> <tr> <td>23</td> <td>07-29-2003</td> <td>Examiner Interview Summary Record (PTOL - 413)</td> </tr> <tr> <td>22</td> <td>08-08-2003</td> <td>Date Forwarded to Examiner</td> </tr> <tr> <td>21</td> <td>07-29-2003</td> <td>Response after Non-Final Action</td> </tr> </tbody> </table>				Number	Date	Contents Description	28	11-19-2003	Electronic Information Disclosure Statement	27	10-14-2003	Mail Examiner Interview Summary (PTOL - 413)	26	10-14-2003	Mail Final Rejection (PTOL - 326)	26	10-10-2003	Final Rejection	24	08-21-2003	Correspondence Address Change	23	07-29-2003	Examiner Interview Summary Record (PTOL - 413)	22	08-08-2003	Date Forwarded to Examiner	21	07-29-2003	Response after Non-Final Action
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NO.	OTHER FACSIMILE	START TIME	USAGE TIME	MODE	PAGES	RESULT
01	18002695231	Oct. 22 12:51PM	01'12	SND	02	OK
02	2538998	Oct. 22 04:27PM	00'32	SND	00	PRESSED THE STOP KEY
03	2538998	Oct. 22 04:29PM	00'38	SND	00	PRESSED THE STOP KEY
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11	<FAX # NOT AVAIL. >	Oct. 28 01:55AM	00'47	RCU	00	OTHER FAX NOT RESPOND
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22	17033086778	Oct. 31 05:21PM	00'57	SND	02	OK
23	17037465521	Nov. 01 04:43PM	02'11	SND	06	OK
24	886225627650	Nov. 03 12:56AM	00'32	RCU	01	OK
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